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6 UNITED STATES DISTRICT COURT  
7 DISTRICT OF NEVADA  
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10 MANUEL STEVEN GUARDADO,

Case No. 3:10-cv-00103-MMD-WGC

11 Petitioner,

ORDER

12 v.

13 NEVADA ATTORNEY GENERAL, *et al.*,

14 Respondents.  
15

16 Petitioner Manuel Steven Guardado, a prisoner in the custody of the State of  
17 Nevada, brings this habeas action under 28 U.S.C. § 2254 to challenge his 2004 Nevada  
18 state convictions for burglary, possession of burglary tools, possession of stolen property,  
19 and arson. After evaluating his claims on the merits, this Court denies Guardado's petition  
20 for a writ of habeas corpus, dismisses this action with prejudice, and denies a certificate  
21 of appealability.

22 **I. BACKGROUND**

23 Around 5:00 am on June 9, 2003, the Reno Police Department received word that  
24 telephone lines were cut around Spiro's Sports Bar—which was a common theme in a  
25 string of sports bar burglaries.<sup>1</sup> Earlier in the day, as part of a multi-day surveillance to

26 <sup>1</sup> The Exhibits referenced in this Order are found in the Court's record at ECF Nos. 16–  
27 22 (Exhs. 1–112) and ECF No. 49 (Exhs. 113–133). All page citations are to the page  
28 numbers of the documents themselves, rather than the ECF-generated page numbers,  
except the citations to Exhs. 23 and 54 and ECF Nos. 47 and 75, which are to the ECF-  
generated page numbers.

1 catch the perpetrators of the burglaries, the detectives saw the petitioner and his brother,  
2 Ernest Jord Guardado (“Ernest”)—the lead suspects in their investigation at that point—  
3 loading up a green Saturn at Ernest’s apartment. (See Exh. 2 at 21–22.) Responding to  
4 the scene of the potential burglary, the detectives saw the green Saturn parked a few  
5 hundred feet away from the bar and noticed a figure in dark clothes, Ernest, standing in  
6 front of the bar. (Exh. 23 at 4.)

7 After a silent alarm was triggered, detectives saw another figure in dark clothes,  
8 Guardado, emerging from between two buildings across the street and approaching the  
9 car. (See *id.*) When the detectives identified themselves as police officers and ordered  
10 Guardado to surrender, he fled and threw a two-way radio onto the top of a nearby  
11 building but was nonetheless apprehended. (See *id.*) Ernest also fled, and was ultimately  
12 found hiding in the crawl space in a home. (See *id.* at 5.) Along his flight path, the  
13 detectives found gloves, a knit cap, a black sweatshirt, tools, a two-way radio, and a  
14 gaming-machine cash box. (See *id.*) During a search of the bar, the police noticed that  
15 the rear door had been forced open and a gaming machine had been broken open and  
16 its cash box removed. (See *id.* at 4–5.)

17 After being apprehended but not *Mirandized*, Guardado made a number of  
18 statements to the arresting officers. (See Exh. 2 at 10.) He first asked Detective Reed  
19 why they were chasing him, and when told that it was for robbery of Spiro’s bar, Guardado  
20 said that he didn’t burgle anything and that he was standing by another building that was  
21 across the street from the bar. (See *id.* at 11–12.) To another officer, he said that he ran  
22 “because [they] were chasing [him]” and he “didn’t know who [they] were. Then [he] turned  
23 around, and [he] saw the black vest with the RPD patch on the front. And [he] thought at  
24 that point [he] might as well keep running because [he had] already started.” (*Id.* at 20.)  
25 He also said that he “didn’t do the burglary, but [he was] the lookout.” (*Id.* at 85.)

26 On February 11, 2004, Guardado was indicted on eight counts: burglary of Spiro’s  
27 bar on June 9, 2003, see NRS §§ 195.020, 205.060(1) (count 1); possession of tools for  
28 the commission of burglary on June 9, 2003, see *id.* § 205.080 (count 2); burglary of

1 another sports bar on May 20, 2003, *see id.* § 205.060(1) (count 3); first-degree arson of  
2 that sports bar on May 20, 2003, *see id.* § 205.010 (count 4); conspiracy to commit first-  
3 degree arson, *see id.* §§ 199.480, 205.010 (count 5); and possession of stolen property  
4 from the May 20, 2003, sports bar burglary, *see id.* § 205.275 (count 6); possession of  
5 stolen property for possessing a gun belonging to someone else, *see id.* § 205.275 (count  
6 7); and possession of stolen property for possessing other items owned by the same  
7 person, *see id.* (count 8). (See Exh. 7 at 1–4.) On September 15, 2004, Guardado signed  
8 a plea deal pleading guilty to counts 1, 3, 4, 6, 7, and 8 in exchange for the State  
9 dismissing counts 2 and 5 and not pursuing giving Guardado habitual criminal status.  
10 (Exh. 32 at 1–2, 8; *see also* Exh. 31 at 7–8.) On November 5, 2004, the state district  
11 court found him guilty of the same and sentenced him to confinement for 48 to 120 months  
12 for counts 1 and 3, 72 to 180 months for count 4, and 24 to 60 months for counts 6, 7,  
13 and 8, each to run consecutively, in addition to monetary payments. (Exh. 34 at 1–2; *see*  
14 *also* Exh. 33 at 15–16.)

15 Guardado appealed on April 21, 2005. (Exh. 48.) The Supreme Court of Nevada  
16 affirmed on August 18, 2005, with remittitur issuing on September 14, 2005. (Exhs. 52,  
17 53.) He filed a habeas petition in state court on October 12, 2005. (Exh. 54; *see also*  
18 Exhs. 60, 65.) The state district court ordered an evidentiary hearing on the petition. (Exh.  
19 71.) After the evidentiary hearing, the state district court denied the petition on October 8,  
20 2008. (Exh. 87.) Guardado filed a motion for reconsideration, but it was denied on  
21 November 13, 2008. (Exhs. 92, 98.) He then appealed to the Supreme Court of Nevada.  
22 (Exh. 103.) The Supreme Court of Nevada affirmed on February 3, 2010, with remittitur  
23 issuing on March 2, 2010. (Exhs. 107, 108.)

24 Guardado filed his first petition for a writ of habeas corpus in federal court on April  
25 26, 2010. (ECF No. 9.) The State filed a motion to dismiss, Guardado responded, and the  
26 State replied. (ECF Nos. 15, 26, 27.) This Court granted the motion in part and denied it  
27 in part, holding that all grounds except for Ground 2 failed to state a claim or were  
28 unexhausted in state court, thus creating a “mixed petition” of exhausted and

1 unexhausted claims. (ECF No. 31 at 8–9.) At this Court’s invitation, Guardado moved for  
2 a stay and abeyance under *Rhines v. Weber*, 544 U.S. 269 (2005). (ECF No. 32; see  
3 ECF No. 31 at 8 & n.4.) The State opposed, and Guardado replied. (ECF Nos. 33, 34.)  
4 This Court granted Guardado’s motion for a stay and abeyance on December 27, 2011.  
5 (ECF No. 35 at 4.)

6 On February 2, 2012, Guardado filed his second petition for a writ of habeas corpus  
7 in state district court. (Exh. 116.) On March 28, 2012, the court denied his petition because  
8 it was procedurally barred by the one-year statute of limitations. (Exh. 118 (citing Nev.  
9 Rev. Stat. § 34.726).) Guardado appealed. The Supreme Court of Nevada affirmed on  
10 November 14, 2012, and remittitur issued on December 14, 2012. (Exhs. 131, 133.)

11 On December 13, 2012, Guardado filed a motion to lift the stay that this Court  
12 issued on December 27, 2011. (ECF No. 36.) This Court granted the motion on November  
13 21, 2013. (ECF No. 38.) Guardado filed an amended petition for a writ of habeas in federal  
14 court on March 12, 2014. (ECF No. 47.) The State filed a motion to dismiss the amended  
15 petition, and Guardado responded. (ECF Nos. 48, 51.) This Court granted the motion to  
16 dismiss and issued judgment dismissing the entire petition. (ECF No. 56 at 8–9; ECF No.  
17 57.) On February 27, 2015, Guardado filed a motion to amend the judgment, arguing that  
18 this Court erroneously dismissed Ground 2 for being procedurally barred in state court  
19 and procedurally defaulted in federal court in light of its previous order that Ground 2 was  
20 ripe for review on the merits. (ECF No. 58; see ECF No. 31 at 7–9.) This Court reopened  
21 the action, noted that it would resolve Ground 2 on the merits, and ordered the State to  
22 file an Answer as to Ground 2. (ECF No. 64 at 3.) The State did so, and Guardado filed  
23 a Reply. (ECF Nos. 71, 75.)

## 24 **II. FEDERAL HABEAS REVIEW STANDARDS**

25 When a state court has adjudicated a claim on the merits, the Antiterrorism and  
26 Effective Death Penalty Act (AEDPA) imposes a “highly deferential” standard for  
27 evaluating the state court ruling; that standard is “difficult to meet” and “demands that  
28 state-court decisions be given the benefit of the doubt.” *Cullen v. Pinholster*, 563 U.S. 170

1 (2011). Under this highly deferential standard of review, a federal court may not grant  
2 habeas relief merely because it might conclude that the state court decision was incorrect.  
3 *Id.* at 202. Instead, under 28 U.S.C. § 2254(d), the court may grant relief only if the state  
4 court decision: (1) was either contrary to or involved an unreasonable application of  
5 clearly established law as determined by the United States Supreme Court or (2) was  
6 based on an unreasonable determination of the facts in light of the evidence presented  
7 at the state court proceeding. *Id.* at 181–88. The petitioner bears the burden of proof. *Id.*  
8 at 181.

9 A state court decision is “contrary to” law clearly established by the Supreme Court  
10 only if it applies a rule that contradicts the governing law set forth in Supreme Court case  
11 law or if the decision confronts a set of facts that are materially indistinguishable from a  
12 Supreme Court decision and nevertheless arrives at a different result. *See, e.g., Mitchell*  
13 *v. Esparza*, 540 U.S. 12, 15–16 (2003). A state court decision is not contrary to  
14 established federal law merely because it does not cite the Supreme Court’s opinions. *Id.*  
15 The Supreme Court has held that a state court need not even be aware of its precedents,  
16 so long as neither the reasoning nor the result of its decision contradicts them. *Id.* And “a  
17 federal court may not overrule a state court for simply holding a view different from its  
18 own, when the precedent from [the Supreme] Court is, at best, ambiguous.” *Id.* at 16. A  
19 decision that does not conflict with the reasoning or holdings of Supreme Court precedent  
20 is not contrary to clearly established federal law.

21 A state court decision constitutes an “unreasonable application” of clearly  
22 established federal law only if it is demonstrated that the state court’s application of  
23 Supreme Court precedent to the facts of the case was not only incorrect but “objectively  
24 unreasonable.” *See, e.g., id.* at 18; *Davis v. Woodford*, 384 F.3d 628, 638 (9th Cir. 2004).  
25 When a state court’s factual findings based on the record before it are challenged, the  
26 “unreasonable determination of fact” clause of 28 U.S.C. § 2254(d)(2) controls, which  
27 requires federal courts to be “particularly deferential” to state court factual determinations.  
28 *See, e.g., Lambert v. Blodgett*, 393 F.3d 943, 972 (9th Cir. 2004). This standard is not

1 satisfied by a mere showing that the state court finding was “clearly erroneous.” *Id.* at  
2 973. Rather, as the Ninth Circuit explained, AEDPA requires substantially more  
3 deference:

4 [I]n concluding that a state-court finding is unsupported by substantial  
5 evidence in the state-court record, it is not enough that we would reverse in  
6 similar circumstances if this were an appeal from a district court decision.  
7 Rather, we must be convinced that an appellate panel, applying the normal  
8 standards of appellate review, could not reasonably conclude that the  
9 finding is supported by the record.

10 *Taylor v. Maddox*, 366 F.3d 992, 1000 (9th Cir. 2004); see also *Lambert*, 393 F.3d at 972.

11 Under 28 U.S.C. § 2254(e)(1), a state court’s factual findings are presumed to be  
12 correct and the petitioner must rebut that presumption by “clear and convincing evidence.”  
13 In this inquiry, federal courts may not look to any factual basis not developed before the  
14 state court unless the petitioner both shows that the claim relies on either (a) “a new rule  
15 of constitutional law, made retroactive to cases on collateral review by the Supreme  
16 Court, that was previously unavailable” or (b) “a factual predicate that could not have been  
17 previously discovered through the exercise of due diligence,” and shows that “the facts  
18 underlying the claim would be sufficient to establish by clear and convincing evidence  
19 that but for constitutional error, no reasonable factfinder would have found the applicant  
20 guilty of the underlying offense.” 28 U.S.C. § 2254(e)(2).

21 When a state court summarily rejects a claim, it is the petitioner’s burden to show  
22 that “there was no reasonable basis for the state court to deny relief.” *Harrington v.*  
23 *Richter*, 562 U.S. 86, 98 (2011).

### 24 **III. ANALYSIS**

25 In Ground 2—the only ground remaining (ECF No. 64 at 3)—Guardado argues  
26 that three instances of ineffective assistance of counsel warrant federal habeas relief:  
27 counsel’s failure to investigate the circumstances of statements he made to police such  
28 that they might have properly been suppressed under *Miranda*; counsel’s failure to  
investigate the case prior to advising Guardado to plead guilty; and counsel misinforming  
Guardado that he could withdraw the guilty plea after making it. (ECF No. 47 at 7–11.)

1 To succeed on an ineffective assistance of counsel claim, a petitioner must show  
2 that counsel's representation fell below an objective standard of reasonableness and a  
3 "reasonable probability that but for counsel's unprofessional errors, the result of the  
4 proceeding would have been different." *Strickland v. Washington*, 466 U.S. 668, 688, 694  
5 (1984). Because Guardado pleaded guilty, he has to demonstrate "a reasonable  
6 probability that, but for counsel's errors, [a reasonable person in Guardado's shoes] would  
7 not have pleaded guilty and would have insisted on going to trial." *Hill v. Lockhart*, 474  
8 U.S. 52, 59 (1985); see also *id.* at 59–60 ("[T]hese predictions . . . should be made  
9 objectively, without regard for the 'idiosyncrasies of the particular decisionmaker.'" (quoting *Strickland*, 466 U.S. at 695)). The likelihood of that "will depend in large part on  
10 a prediction whether the evidence likely would have changed the outcome of a trial." *Id.*  
11 at 59; see also *Lafler v. Cooper*, 566 U.S. 156, 163 (2012); *Hedlund v. Ryan*, 854 F.3d  
12 557, 576 (9th Cir. 2017). Courts evaluate counsel's performance from counsel's  
13 perspective at the time and begin with a strong presumption that counsel's conduct is well  
14 within the wide range of reasonable conduct. See, e.g., *Beardslee v. Woodford*, 358 F.3d  
15 560, 569 (9th Cir. 2004). When a state court has reviewed a *Strickland* claim, a federal  
16 court's habeas review is "doubly deferential"—the reviewing court must take a "highly  
17 deferential" look at counsel's performance through the also "highly deferential" lens of  
18 § 2254(d). *Pinholster*, 563 U.S. at 190, 202.

19  
20 However, it is not quite that easy in this case. To the extent that the Supreme Court  
21 of Nevada addressed these claims,<sup>2</sup> it rejected them because Guardado failed to  
22 demonstrate either that counsel was deficient or that he was prejudiced—a conclusion it  
23 adopted from the Nevada trial court. (See Exh. 107 at 1–2; see also Exh. 87 at 5–11.)  
24 The Nevada trial court, though, came to this conclusion following an evidentiary hearing.  
25 (See Exh. 87 at 1 ("There has been an evidentiary hearing. The Court, now being fully  
26 advised of the premises, denies the relief request.").) Indeed, the transcripts of the

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28 <sup>2</sup> The Supreme Court of Nevada rejected Grounds 2-2 and 2-3 on the merits but did not  
address Ground 2-1. (See Exh. 107 at 7.)

1 evidentiary hearing were provided to the Supreme Court of Nevada, and it is only logical  
2 to infer that the court at least glanced at the transcripts in making its decision. (See Exh.  
3 103 at 3–4 (citing the transcript of the three-day evidentiary hearing as appearing in  
4 volumes one and two of the appellate record).) But the State has failed to provide this  
5 Court with those transcripts. (See ECF Nos. 16, 49 (indices of Exhs. provided by the  
6 State).)

7 “[I]f the role of a federal habeas court were simply to accept on faith the state  
8 court’s description of the facts, free from any obligation to review the record on which the  
9 state court based its judgment, ‘there would hardly be a reason to have a federal habeas  
10 statute at all.’” *Nasby v. McDaniel*, 853 F.3d 1049, 1052 (9th Cir. 2017) (citation omitted);  
11 see also *id.* at 1054 (“Regardless of what documents the parties originally submit, it is the  
12 district court’s independent obligation to obtain the relevant portions of the record.”). Just  
13 because a petition is likely “without merit,” that “cannot be said with certainty without a  
14 review of the record.” *Turner v. Chavez*, 586 F.2d 111, 112 (9th Cir. 1978). When the  
15 contents of a transcript that were relied upon by the state court whose decision is under  
16 review and are “relevant to the [federal court’s] adjudication of [the petitioner’s] claims,”  
17 there is “no alternative” but to review the transcripts or conduct a new evidentiary hearing.  
18 *Nasby*, 853 F.3d at 1053 (quoting *Jones v. Wood*, 114 F.3d 1001, 1008 (9th Cir. 1997)).

19 *Nasby* did not elaborate on what “relevant to the [federal court’s] adjudication of  
20 [the petitioner’s] claims” meant. Because *Nasby* also failed to indicate that a federal  
21 district court did not have the option of simply reviewing the claims de novo while  
22 assuming the veracity of a petitioner’s allegations, this Court will do so here rather than  
23 ordering the State to produce the transcripts from the evidentiary hearing.<sup>3</sup> In fact, this

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26 <sup>3</sup> To the sure, the State has a duty to file, and should have filed, the transcripts from the  
27 evidentiary hearing as they are relevant to the resolution of Ground 2 under the AEDPA  
28 deferential standard of review. See Rule 5(c) of the Rules Governing Section 2254 Cases  
in the United States District Court (“The respondent must attach to the answer parts of  
the transcripts that the respondent considers relevant.”).



1 approach is supported by *Nasby* in that *Nasby* relies on the fact that:

2 a federal habeas court is charged with determining whether a state court's  
3 disposition of a claim for relief resulted in a decision that was contrary to, or  
4 involved an unreasonable application of, clearly established Federal law, as  
5 determined by the Supreme Court" or "resulted in a decision that was based  
6 on an unreasonable determination of the facts in light of the evidence  
7 presented in the State court proceeding."

8 *Id.* at 1054 (first quoting 18 U.S.C. § 2254(d)(1); then quoting 18 U.S.C. § 2254(d)(2)).

9 When either of those two standards is met, a federal court reviews the merits of the  
10 underlying federal claim de novo, no more and no less. *See Taylor v. Maddox*, 366 F.3d  
11 992, 999 (9th Cir. 2004). So, even if the state court cited only *Harry Potter and the Goblet*  
12 *of Fire* for the proposition that shackling a defendant in a hearing without conducting any  
13 inquiry as to the need to shackle that defendant comported with the U.S. Constitution, the  
14 shackling of the petitioner would still need to have violated the U.S. Constitution and  
15 warrant relief before a federal court may grant the habeas petition.<sup>4</sup> *Cf. Murphy v. Royal*,  
16 Nos. 07-7068, 15-7041, 2017 WL 3389877, at \*12 (10th Cir. Aug. 8, 2017). Put another  
17 way, "it is . . . a necessary prerequisite to federal habeas relief that a prisoner satisfy the  
18 AEDPA standard of review," but habeas relief does not "automatically issue if a prisoner  
19 satisfies the AEDPA standard." *Horn v. Banks*, 536 U.S. 266, 272 (2002). The federal  
20 court "must then resolve the claim without the deference AEDPA otherwise requires."  
21 *Panetti v. Quarterman*, 551 U.S. 930, 953 (2007).

22 If this Court is capable of resolving a claim based on the record before it—even if  
23 the record is incomplete under *Nasby*—it may do so. Surely if the record provided, when  
24 combined with the State's concessions that are agreed to by the petitioner, proves that  
25 the petitioner is entitled to habeas relief under AEDPA's deferential standards, a federal

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26 <sup>4</sup> Harry looks through Dumbledore's pensive and sees the trial of Barty Crouch, Jr., in  
27 which Barty is shackled and kept inside a spiked cage resembling a medieval torture  
28 device, without any evidence that the court made "an individualized decision that a  
compelling government purpose would be served and that shackles are the least  
restrictive means for maintaining security and order in the courtroom." *United States v.*  
*Sanchez-Gomez*, 859 F.3d 649, 661 (9th Cir. 2017) (en banc); *see Harry Potter and the*  
*Goblet of Fire* (Warner Bros. 2005).

1 court could give the petitioner relief without waiting for the entirety of the record that is,  
2 under a more expansive definition of the term than that which this Court adopts today,  
3 “relevant” to that relief. This Court sees no reason why the corollary of that should be  
4 impermissible. “To put the matter more familiarly, what is sauce for the goose is sauce  
5 for the gander.” *Davila v. Davis*, 137 S. Ct. 2058, 2075 (2017) (Breyer, J., dissenting).

6 An analysis of the district court opinion that *Nasby* reversed further supports the  
7 proposition that *Nasby* did not intend to prevent the approach this Court follows today.  
8 Using one claim as an example, the district court quoted the Supreme Court of Nevada’s  
9 finding that “[a]t the evidentiary hearing, counsel testified that the videotape [that Nasby’s  
10 counsel was ineffective for failing to present at trial] also contained imaging damaging to  
11 Nasby.” *Nasby v. McDaniel*, No. 3:07-cv-00304, 2014 WL 5822803, at \*7 (D. Nev. Nov.  
12 7, 2014), *rev’d*, 853 F.3d 1049. Without looking—or having access to—the transcript of  
13 evidentiary hearing, the district court determined that Nasby failed to meet his burden of  
14 showing that the Supreme Court of Nevada’s “ruling was contrary to, or involved an  
15 unreasonable application of, clearly established federal law, as determined by the United  
16 States Supreme Court, or that the ruling was based on an unreasonable determination of  
17 the facts in light of the evidence presented in the state court proceeding.” *Id.* at \*8. In  
18 other words, it assumed the veracity of the facts claimed by the Supreme Court of Nevada  
19 and, based on that, held that trial counsel’s decision was a strategic tactical one under  
20 *Strickland*. Doing so was impermissible because it abdicated the federal courts’  
21 responsibility to independently review the federal legality of a state court’s detention of a  
22 person inside the United States.

23 This Court adopts a different approach. As explained above, this Court assumes  
24 the veracity of the petitioner’s allegations as to any content that may have been discussed  
25 during the evidentiary hearing for which the State has failed to provide the transcripts.  
26 Doing so, this Court finds that Guardado is not entitled to habeas relief, and therefore the  
27 proceedings are not “relevant” to its “adjudication of [the petitioner’s] claims,” leaving this  
28 Court an “alternative” to ordering the State to provide the missing transcripts. *See Nasby*,

1 853 F.3d at 1053. Moreover, the transcripts are not “relevant” to this Court’s adjudication  
2 of the claims because, as discussed in more detail below, Guardado’s claims of prejudice  
3 for all three Grounds of alleged ineffective assistance of counsel failed in light of the  
4 overwhelming evidence of his guilt and that a showing that a defendant would be found  
5 guilty defeats, “in large part,” the defendant’s ability to prove a reasonable probability that  
6 he would have rejected the plea deal. *Hill*, 474 U.S. at 59.

7 **A. Ground 2-1**

8 In Ground 2-1 of his amended federal petition, Guardado argues that his trial  
9 counsel was ineffective for failing to file a motion to suppress statements that he gave to  
10 police because “one of [his] spontaneous statements,” as claimed by Detective Reed,  
11 was actually made when “answering a question” and was thus “not a spontaneous  
12 statement.” (See ECF No. 47 at 57–58.) Guardado sent his trial counsel a letter asking  
13 him to file a motion to suppress his “so-called statement” on June 28, 2004, because he  
14 “never once was . . . given [his] *Miranda* rights nor did [he] answer any of their questions.”  
15 (ECF No. 75 at 11.) He claims that he “had been in custody for over 2 hours and [was]  
16 questioned by officers.” (*Id.* at 5.) He supports his contention that he was “questioned” by  
17 positing to the testimony of Detective Reed at the preliminary hearing, when Reed  
18 prefaced an answer with, “when I asked him about his brother.” (*Id.* at 6 (emphasis  
19 added).) Indeed, at the preliminary hearing Reed testified:

20 I believe they are brothers. [Guardado] told me that when I asked  
21 him.

22 . . . I said, “Where would your brother—where would Ernest run to?”  
23 He just kind of looked at me, and he said, “Come on, man. He’s my brother.  
I’m not going to give him up.” So I made the assumption they were brothers.

24 (Exh. 2 at 14–15.) And later, Reed testified that Guardado said that he “didn’t do the  
25 burglary, but [he was] the lookout.” (*Id.* at 85.)

26 Trial counsel would be constitutionally ineffective in this instance only upon a  
27 showing of a reasonable probability that *Miranda* warnings were required because  
28 Guardado was subject to a “custodial interrogation.” *J.D.B. v. North Carolina*, 564 U.S.

1 261, 270 (2011). There is no doubt that Guardado was in custody—he had been chased  
2 and arrested on suspicion of burgling Spiro’s bar. Thus, the question is whether his  
3 interactions with the detectives constituted an “interrogation.” The Supreme Court of  
4 Nevada did not address this specific claim, although the state trial court held that he failed  
5 to demonstrate either deficiency or prejudice because the statements were either  
6 spontaneous or a result of a conversation that Guardado initiated. (See Exh. 107; Exh.  
7 87 at 11–12.) In other words, the state court held that there was no “interrogation.” The  
8 evidence on this front is scant, and the transcripts of the evidentiary hearing might be  
9 helpful. Guardado alleges that he was “questioned” even though he never “answer[ed]  
10 any of their questions.” (ECF No. 75 at 11.) Without knowing more about what counsel  
11 knew and when, counsel could have been ineffective for failing to investigate the claim  
12 that his confession that he was the lookout for the burglary violated *Miranda*, and  
13 subsequently, then, for not filing the motion to suppress.

14       However, even if this Court assumes that counsel would have filed the motion and  
15 that the motion would have been granted, Guardado still bears the burden of proving a  
16 reasonable probability that, but for the ineffective assistance of counsel, a reasonable  
17 person in his shoes would not have accepted the plea deal. While ordinarily a defendant’s  
18 acceptance or rejection of a plea agreement might well rise and fall with the admissibility  
19 of a confession that he was involved in one of the robberies at issue, the evidence against  
20 Guardado on that point was already overwhelming—and that, “in large part,” defeats his  
21 claimed prejudice. *Hill*, 474 U.S. at 59. Guardado and Ernest had already been identified  
22 as the top suspects in the string of sports bar burglaries. The night before the burglary, a  
23 surveillance team watched Guardado and Ernest load up Ernest’s green Saturn. Then, at  
24 5:00 am, Guardado was found across the street from the site of a bar burglary, which  
25 conformed to the *modus operandi* of the other burglaries in the string, wearing dark  
26 clothes. Ernest’s green Saturn was a few hundred feet away. That may have been enough  
27 for a jury to convict. But there was more inculpatory evidence. When uniformed police  
28 officers approached Guardado, identified themselves as police, and ordered him to

1 surrender, he ran and threw a two-way walkie talkie onto the roof of a building, hoping  
2 that the officers wouldn't find it. And when the officers caught up to Ernest, they  
3 discovered another two-way walkie talkie, the stolen gaming-machine cash box, gloves,  
4 and a knit cap. And when they searched the crawl space of the four-unit building in which  
5 Ernest lived and Guardado has been seen loading up the green Saturn the night before  
6 the burglary, they found myriad stolen goods. Moreover, Guardado knew that, in  
7 exchange for accepting the plea, his brother's ex-wife would not be subject to indictment  
8 or further "harassed," as he put it.<sup>5</sup> (See ECF No. 47 at 8–9, 59; see also *id.* at 63 (Ernest's  
9 attorney describing the deal).) Indeed, he claimed that this threatening of his ex-sister-in-  
10 law "coerced" him into taking the guilty plea.<sup>6</sup> So, regardless of whether the confession  
11 was in or not, that "coercion" still would have prompted him to take the plea deal and not  
12 go to trial.

13 Therefore, Guardado has failed to establish a reasonable probability that a  
14 reasonable person in his shoes would have elected to go to trial and foregone the plea  
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16 <sup>5</sup> On March 5, 2014, Ernest sent a letter to the court informing it that, "through [his] court  
17 appointed attorney Mr. Merkin, [Ernest has] been put under extreme duress by threats  
18 and coercion from the district attorney." (ECF No. 47 at 59.) The State threatened to  
19 charge him for committing the other burglaries in the string and for being a habitual  
20 criminal unless he pleaded guilty. (*Id.*) Similarly, the State said that it would charge his  
21 ex-wife "with felonies" and "subpoena her to testify against" Ernest, and, according to  
22 Ernest, "handed her an indictment with her name on it." (*Id.* at 59–60.) When Ernest  
23 claimed that the State wasn't allowed to do so, his attorney informed him that it could. (*Id.*  
24 at 59.) Ernest claimed that on February 5, 2004, his ex-wife came to visit him and,  
25 because of what it was "doing to here [sic] mentally[,] after her visit [he] called Mr. Merkin  
26 [sic] office and left a message . . . stat[ing he] would plead guilty to whatever they wanted  
27 as long as they left [his] ex-wife alone and quit harassing her." (*Id.* at 61.) Ernest explained  
28 that his counsel explained to Guardado that if Guardado did not agree to the plea bargain,  
Ernest's ex-wife would be arrested or indicted and that, if Guardado went to trial, he  
thought that they would lose. (*Id.*) Guardado sent a copy of this same letter. (Exh 20.)

25 <sup>6</sup> There is no reasonable argument before this Court that this "coercion," as Guardado  
26 and Ernest characterize it, is constitutionally impermissible because there was reason to  
27 think that Ernest's wife might have been involved in possessing the stolen goods or  
28 burgling, given that the good were stored at her apartment and they used the car co-  
owned by Ernest and her to commit the crimes. See *Bordenkircher v. Hayes*, 434 U.S.  
357, 361 & n.7 (1978). Indeed, both Guardado's and Ernest's counsel told them that it  
was permissible. (See ECF No. 74 at 12.)

1 agreement if counsel had investigated Guardado's *Miranda* claim and found grounds  
2 sufficient for the state trial court to grant a motion to suppress his confession. Ground 2-  
3 1 provides no basis for habeas relief.

4 **B. Ground 2-2**

5 In Ground 2-2 of his amended federal petition, Guardado argues that his trial  
6 counsel was ineffective "for advis[ing] Guardado to plead guilty without conducting any  
7 investigation." (ECF No. 47 at 8; see *also* ECF No. 75 at 2.) Guardado explains that he  
8 told his counsel that "he could not have possessed the stolen property[] [because] he was  
9 just a guest at his brother's house" where the stolen property was found. (ECF No. 47 at  
10 10.) Further, the "stolen property was not located under [Ernest's] house as stated by  
11 police, [b]ut was located in the crawl space of the 4-plex [Ernest] lived in" and the  
12 "Detectives made it seem as though the apartment was a house at the grand jury to lead  
13 the grand jury to believe [Guardado] and [Ernest] had possession and access" to the  
14 stolen property. (*Id.*) He contends that "had counsel investigated[,] . . . there is a good  
15 chance [his] motions would have been granted [because an] investigator could have . . .  
16 prov[ed] [his] claims of [in]accessibility to [the] crawl space." (*Id.*) Therefore, he argues  
17 that he "would have proceeded to trial and not accepted [the] plea deal." (*Id.* at 9.)

18 These facts are even less compelling than those related to his confession. See  
19 *Stankewitz v. Woodford*, 365 F.3d 706, 717–19 (9th Cir. 2004) (focusing on the impact  
20 that information that would have been revealed by the investigation would have on the  
21 outcome). Whether Guardado lived in Ernest's apartment or elsewhere is hardly material  
22 to an inquiry of whether he committed the burglaries or was, at some point, in possession  
23 of the stolen goods found under the apartment. And his contentions about the crawl space  
24 being in a four-unit apartment complex rather than a standalone home could have easily  
25 been brought up at trial—with or without investigation beforehand. Moreover, Guardado  
26 would still be faced with the overwhelming evidence described in Ground 2-1, above.

27 Therefore, Guardado failed to establish a reasonable probability that a reasonable  
28 person in his shoes would have elected to go to trial and foregone the plea agreement if

1 counsel had investigated and discovered the facts he alleged. Ground 2-2 provides no  
2 basis for habeas relief.

3 **C. Ground 2-3**

4 In Ground 2-3 of his amended federal petition, Guardado argues that his trial  
5 counsel was ineffective because counsel “misinformed [him] about his ability to withdraw  
6 his guilty plea . . . as stated in [the] plea memorandum.” (ECF No. 47 at 8–9.) Guardado  
7 complains that he was not “inform[ed] . . . that he could not withdraw his guilty plea as  
8 stated in the plea agreement.” (Exh. 54 at 7; see also *id.* at 8 (“[C]ounsel failed to properly  
9 advise him of the consequences of his plea . . .”).)

10 Guardado entered his plea of guilty before the state trial court on September 15,  
11 2004, less than a week before trial was set to start. During the colloquy with the court,  
12 Guardado and Ernest were both asked if they understood the plea agreements, if they  
13 agreed to waive their rights, and if they wanted to plead guilty. (See Exh. 31 at 3–28.)  
14 They both said yes. So irrespective of what counsel had told Guardado months earlier  
15 about what the plea agreement he was signing back then meant, the state trial court went  
16 over the terms of the plea deal and the fact that he was waiving any rights to a trial. If, at  
17 that time, he thought that he could reverse the guilty plea he was about to enter or had  
18 any reservations about pleading guilty, he would have raised them to the district court.  
19 But he did not. Guardado testified that he understood these rights and the state trial court  
20 found that he understood these rights. Less than a month later, Ernest claimed that they  
21 both wanted to change their pleas back to not guilty because they “were led to believe,  
22 and in the paperwork that we got it stated that we were led to believe pretty much that we  
23 were able to withdraw the plea if . . . we decided against it.” (Exh. 33 at 5–6.) When asked  
24 if Guardado had any additional bases for withdrawing his plea, Guardado did not. (*Id.* at  
25 8.)

26 Moreover, this claim fails because Guardado does not explain *why* he would have  
27 withdrawn his guilty plea and gone to trial had that actually been an option. See *Turner v.*  
28 *Calderon*, 281 F.3d 851, 855 (9th Cir. 2002) (rejecting “bare assertions”). The only facts

1 that Guardado claims support a reasonable probability that he would not have accepted  
2 the plea deal are that he thought the plea was reversible and that, when his brother stated  
3 that he wanted to go to trial, he said, after being told by his counsel that this was not an  
4 option immediately prior to the hearing, that he wanted to go to trial as well. (See ECF  
5 No. 47 at 7.) However, as the Supreme Court noted in *Hill*, the predictions about whether  
6 Guardado would have tried his luck at trial are made “without regard for the ‘idiosyncrasies  
7 of the particular decisionmaker.’” 474 U.S. at 59–60 (quoting *Strickland*, 466 U.S. at 695).  
8 These two facts do not adequately support the notion that he would have not accepted  
9 the plea agreement. His claim has little more persuasive value than a bare assertion—  
10 and such assertions categorically fail to meet the *Strickland* prejudice standard. See  
11 *Missouri v. Frye*, 566 U.S. 133, 150 (2012) (holding that a defendant met the “reasonable  
12 probability” standard of having been willing to accept a plea that counsel failed to  
13 communicate to him when he later “pleaded guilty to a more serious charge, with no  
14 [beneficial] promise[s]” that were included in the original plea).

15 Guardado’s claim of prejudice further, and independently, fails in light of the  
16 evidence and arguments presented in Grounds 1-1 and 1-2, above, because they render  
17 his claim that he would have wanted to go to trial when he signed the plea agreement  
18 simply unbelievable. See *Velazquez v. United States*, 651 Fed. App’x 620, 623 (9th Cir.  
19 2016) (considering the strength of the evidence and the availability of trial defenses).  
20 Going to trial would have been a markedly nonsensical idea given that multiple counts  
21 were dismissed and others not pursued in the first place because of the plea agreement.  
22 This Court is not persuaded that even Guardado ever truly entertained the notion, let  
23 alone that any objectively *reasonable* defendant in his shoes would have. Therefore, he  
24 has failed to demonstrate a reasonable probability that he would not have accepted the  
25 plea deal had he known that it was not reversible.

26 This conclusion is further reinforced by the fact that Ernest’s plea deal included an  
27 admission that he committed these crimes with Guardado. (Exh. 32 at 3.) Whether  
28 Guardado’s counsel was ineffective for failing to tell Guardado that he could not withdraw



1 his plea deal does not alter the existence of Ernest's plea deal and the damaging effect  
2 that would have had if Guardado went to trial, thus making it less likely that Guardado  
3 would have gone to trial at all.

4 As an aside, Guardado admits that his counsel testified at the evidentiary hearing  
5 and that his counsel claimed not to have told Guardado that he could withdraw his guilty  
6 plea. (See ECF No. 75 at 7–8; see *also id.* (attempting to impeach counsel's credibility  
7 with claims of perjury in another proceeding); ECF No. 47 at 12 (demonstrating  
8 substantial knowledge of the content of the transcripts of the evidentiary hearing).)  
9 Assuming this Court is allowed to consider that testimony because all parties and courts  
10 agree to its existence, its inclusion only solidifies the result reached here. With the above  
11 reasons for debunking Guardado's assertion that he ever either thought that the plea  
12 agreement was reversible or that he wanted to go to trial and not accept the plea deal,  
13 the only way that the Supreme Court of Nevada's determination that there wasn't any  
14 prejudice could involve an unreasonable determination of fact or be contrary to, or an  
15 unreasonable application of, U.S. Supreme Court precedent would be if trial counsel  
16 admitted what Guardado alleges—and the summary of the evidentiary hearing provided  
17 by both parties and the state courts and Guardado's characterization of that hearing make  
18 clear that is not the case.

19 Ground 2-3 provides no basis for habeas relief.

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1 **IV. CONCLUSION**

2 Accordingly, it is hereby ordered that Guardado's petition for a writ of habeas  
3 corpus is denied on the merits, and this action is dismissed with prejudice.<sup>7</sup>

4 Because reasonable jurists would not find this decision to be debatable or  
5 incorrect, it is further ordered that a certificate of appealability is denied. The Clerk of  
6 Court is directed to enter judgment, in favor of respondents and against Guardado,  
7 dismissing this action with prejudice.

8 DATED THIS 25<sup>th</sup> day of August 2017.



10 MIRANDA M. DU  
11 UNITED STATES DISTRICT JUDGE  
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26 <sup>7</sup> A petitioner may not use a reply to an answer to present additional claims and allegations  
27 that are not included in the federal petition. *See, e.g., Cacoperdo v. Demosthenes*, 37  
28 F.3d 504, 507 (9th Cir. 1994). To the extent that Guardado has done so in his federal  
Reply, this Court does not consider these additional claims and allegations.